# Understanding Federal Notices of Award

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# Agenda

Uniform Guidance Requirements for Information Contained in a Federal Award

➤ Understanding NIH Award Numbers



### What is a Notice of Award?

# Notice of Award (NoA)

- an official legal document issued to the grantee of a federal award
- indicates a federal award has been made and funds may be requested to be used, and reported on, in the approved manner
- includes all applicable terms and conditions of the award
- an essential resource throughout the life of an award
- sometimes called a Notice of Grant Award (NGA)
- a revised NoA will be issued if there are any post-award amendments made to the award

### Read each

Notice of Award

very carefully!





Uniform Guidance: 2 CFR 200

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

### 2 CFR 200

Uniform Guidance

§200.211: Information contained in a Federal Award



A Federal award must include the following information:

- (a) Federal award performance goals
- (b) General Federal award information
- (c) General terms and conditions
- (d) Federal awarding agency, program, or Federal award specific terms and conditions
- (e) Federal awarding agency requirements



A Federal award must include the following information:

(a) Federal award performance goals



A Federal award must include the following information:

(a) Federal award performance goals

"Performance goals, indicators, targets, and baseline data must be included in the Federal award, where applicable.

The Federal awarding agency must also specify HOW performance will be assessed in the terms and conditions of the Federal award,

including the TIMING and SCOPE of expected performance."

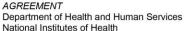


Notice of Award



LINKED SPECIALIZED CENTER COOPERATIVE Issue Date: 09/26/2014

17772 13346 50





NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

**Grant Number:** 1UL1MD009605-01 **FAIN:** UL1MD009605

Principal Investigator(s): Farin Kamangar (contact) Payam Sheikhattari, MD

Project Title: ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE. MD 21251

**Budget Period:** 09/26/2014 – 06/30/2015 **Project Period:** 09/26/2014 – 06/30/2019

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$2,119,860 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MORGAN STATE UNIVERSITY in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 31 USC 6305 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute On Minority Health And Health Disparities of the National Institutes of Health under Award Number UL1MD009605. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <a href="http://grants.nih.gov/grants/policy/coi/">http://grants.nih.gov/grants/policy/coi/</a> for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV

Sincerely yours,



#### Notice of Award



#### LINKED SPECIALIZED CENTER COOPERATIVE **AGREEMENT**

Issue Date: 09/26/2014

Department of Health and Human Services National Institutes of Health NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

Grant Number: 1UL1MD009605-01 FAIN: UL1MD009605

**Principal Investigator(s):** Farin Kamangar (contact) Payam Sheikhattari, MD

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**RESTRICTION:** Funds in this award are for the Administrative and Institutional Development Cores and not to be used for student participant/trainee financial support. Student participants/trainees receive financial support through the administratively linked TL4 and RL5 awards.

#### **DUPLICATION OF FUNDING**

RESTRICTION: This award is not intended to support replication or expansion of existing programs including but not limited to the MARC, RISE, and Bridges to the Baccalaureate programs at applicant institutions.

#### ANNUAL PROGRESS REPORT REQUIREMENT

**REQUIREMENT: As described in more detail in the FOA,** progress reports are required annually using the **Research Performance Progress Report (RPPR).** See the following websites for assistance.

http://grants.nih.gov/grants/guide/notice-files/NOT-OD-14-092.html http://grants.nih.gov/grants/rppr/index.htm

#### STEERING COMMITTEE

**REQUIREMENT:** The awardee is required to use a Steering Committee and participate in Executive Steering Committee meetings in accordance with RFA-RM-13-016.

#### PRIOR APPROVAL OF PILOT PROJECTS

**REQUIREMENT:** All awardee-selected pilot projects (including BUILD Pilot-Seed Grants, Matching Sabbatical Pay, and Mini-Grants) require prior approval by NIH prior to initiation.



### NIH and Other PHS Agency Research Performance Progress Report (RPPR) Instructional Guide

June 23, 2022





#### **NIH RPPR Instruction Guide**

### 1 Purpose

The purpose of this document is to provide those preparing the Research Performance Progress Report (RPPR) with an explanation of the RPPR module in the eRA Commons and the information required in the report. This document also provides the steps for accessing and completing the report in eRA Commons, as well as navigating, validating, routing, and submitting the RPPR to the awarding agency.

#### 1.1 NIH

The RPPR is required for all annual non-competing (Type 5) NIH awards and final progress reports (Final-RPPR), including SBIR/STTR awards. NIH requires the submission of an "Interim-RPPR" if the recipient organization has submitted a renewal application (Type 2) on or before the due date by which a Final-RPPR would be required for the current competitive segment. Annual, interim, and final progress reports submitted in any format other than the RPPR will not be processed by the NIH and will require resubmission through the RPPR. Refer to notices NOT-OD-17-022, NOT-OD-17-037, NOT-OD-17-085, NOT-OD-14-026 and NOT-OD-14-092 and NOT-OD-15-014 for more information.

### 1.2 Agency for Healthcare Research and Quality (AHRQ)

All Agency for Healthcare Research and Quality (AHRQ) recipients are required to use the eRA

Commons RPPR module. The RPPR includes numerous references to the NIH Grants Policy Statement

8.1.2 requirement that significant changes in objectives and scope require prior approval of the agency;
for AHRQ recipients the analogous requirement is in the HHS Grants Policy Statement under "Prior

https://grants.nih.gov/sites/default/files/rppr instruction guide.pdf



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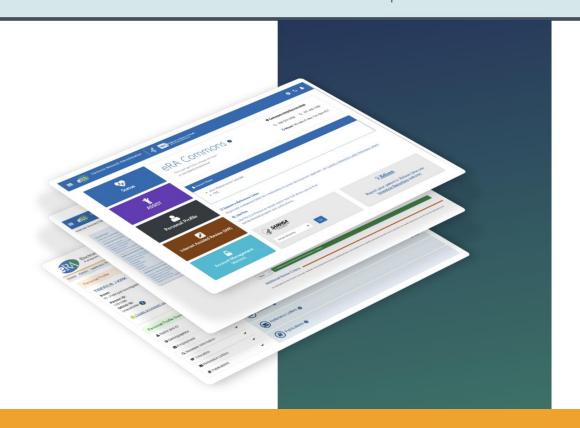
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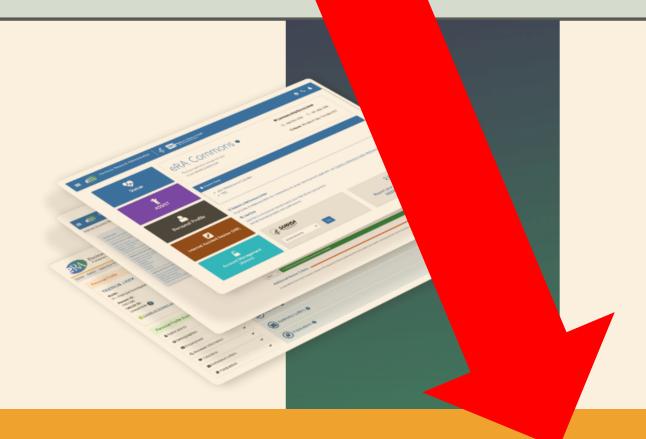
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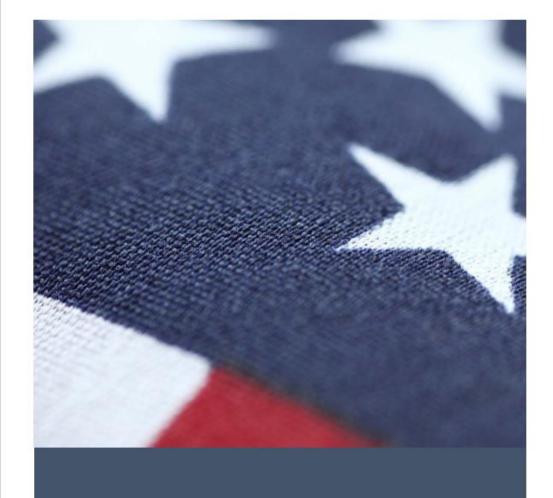
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### MANAGING FOR RESULTS:

The Performance Management Playbook for Federal Awarding Agencies

April 2020 VERSION I



A Federal award must include the following information:

(b) General Federal award information



#### Recipient's name



Notice of Award FAIN# RL5GM118972 Federal Award Date 06/23/2022

#### Recipient Information

1. Recipient Name

MORGAN STATE UNIVERSITY

1700 E COLD SPRING LN

BALTIMORE, 21251

- 2. Congressional District of Recipient 07
- 3. Payment System Identifier (ID) 1526002033B8
- 4. Employer Identification Number (EIN) 526002033
- 5. Data Universal Numbering System (DUNS) 879941318
- 6. Recipient's Unique Entity Identifier KULSKCCZJT27
- 7. Project Director or Principal Investigator
  Payam Sheikhattari, MD (Contact)

payam.sheikhattari@morgan.edu 443 885 3639

8. Authorized Official

Timothy Akers timothy.akers@morgan.edu 443-885-3798

#### **Federal Agency Information**

9. Awarding Agency Contact Information
Susan F. South

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES southsf@mail.nih.gov

#### **Federal Award Information**

11. Award Number

5RL5GM118972-09

12. Unique Federal Award Identification Number (FAIN)

RL5GM118972

13. Statutory Authority

42 USC 241 42 CFR 52

14. Federal Award Project Title

ASCEND Training Model to Increase Diversity in the Biomedical Research Workforce

15. Assistance Listing Number

93.310

16. Assistance Listing Program Title

Trans-NIH Research Support

17. Award Action Type

Non-Competing Continuation

18. Is the Award R&D?

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<b>26.</b> Project Period Start Date 09/26/2014 – End Date 06/30/2024		
27. Total Amount of the Federal Award including Approved Cost	\$3,616,378	
Sharing or Matching this Project Period		



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Notice of Award FAIN# RL5GM118972 Federal Award Date 06/23/2022

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\$3,616,378

#### Period of Performance

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### Total Cost Sharing or Matching

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14. Federal Award Project Title

ASCEND Training Model to Increase Diversity in the Biomedical Research Workforce

15. Assistance Listing Number

93.310

16. Assistance Listing Program Title

Trans-NIH Research Support

17. Award Action Type

Non-Competing Continuation

Sharing or Matching this Project Period

18. Is the Award R&D?

Summary Federal Award Financial Informatio	n
19. Budget Period Start Date 07/01/2022 - End Date 06/30/2023	
20. Total Amount of Federal Funds Obligated by this Action	\$599,091
20 a. Direct Cost Amount	\$578,051
20 b. Indirect Cost Amount	\$21,040
21. Authorized Carryover	
22. Offset	
23. Total Amount of Federal Funds Obligated this budget period	\$599 091
24. Total Approved Cost Sharing or Matching, where applicable	\$0
25. Total reversional Non-Fodoral Approved this Rudget Period	160'6666
26. Project Period Start Date 09/26/2014 - End Date 06/30/2024	
27. Total Amount of the Federal Award including Approved Cost	\$3,616,378





### Total Amount including Cost Sharing/Matching

#### **Recipient Information**

1. Recipient Name
MORGAN STATE UNIVERSITY
1700 E COLD SPRING LN

BALTIMORE, 21251

- 2. Congressional District of Recipient 07
- 3. Payment System Identifier (ID) 1526002033B8
- 4. Employer Identification Number (EIN) 526002033
- 5. Data Universal Numbering System (DUNS) 879941318
- **6. Recipient's Unique Entity Identifier** KULSKCCZJT27
- 7. Project Director or Principal Investigator
  Payam Sheikhattari, MD (Contact)

payam.sheikhattari@morgan.edu 443 885 3639

8. Authorized Official

Timothy Akers timothy.akers@morgan.edu 443-885-3798

#### **Federal Agency Information**

9. Awarding Agency Contact Information
Susan F. South

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES southsf@mail.nih.gov

#### **Federal Award Information**

11. Award Number

5RL5GM118972-09

12. Unique Federal Award Identification Number (FAIN) RL5GM118972

13. Statutory Authority

42 USC 241 42 CFR 52

14. Federal Award Project Title

ASCEND Training Model to Increase Diversity in the Biomedical Research Workforce

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93.310

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Non-Competing Continuation

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53		
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	20. Total Amount of Federal Funds Obligated by this Action	\$599,091
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	21. Authorized Carryover	
	22. Offset	
	23. Total Amount of Federal Funds Obligated this budget period	\$599,091
	24. Total Approved Cost Snaring or Watching, where applicable	\$0
1	25. Total Federal and Non-Federal Approved this Budget Period	\$599,091
1		
	<b>26. Project Period Start Date</b> 09/26/2014 – End Date 06/30/2024	
	27. Total Amount of the Federal Award including Approved Cost	\$3,616,378





\$3,616,378

### Total Budget Approved

#### Federal Award Information

11. Award Number

5RL5GM118972-09

12. Unique Federal Award Identification Number (FAIN)

RL5GM118972

13. Statutory Authority

42 USC 241 42 CFR 52

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17. Award Action Type

Non-Competing Continuation

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Yes

Summary Federal Award Financial Informati	ion
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21. Authorized Carryover	
22. Offset	
23. Total Amount of Federal Funds Obligated this budget period	\$599,093
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25. Total Federal and Non-Federal Approved this Budget Period	\$599,09

27. Total Amount of the Federal Award including Approved Cost

Sharing or Matching this Project Period

### Federal Agency Information

timothy.akers@morgan.edu

**Recipient Information** 

1700 E COLD SPRING LN

BALTIMORE, 21251

1526002033B8

526002033

879941318

KULSKCCZJT27

443 885 3639

8. Authorized Official Timothy Akers

443-885-3798

MORGAN STATE UNIVERSITY

2. Congressional District of Recipient

3. Payment System Identifier (ID)

4. Employer Identification Number (EIN)

6. Recipient's Unique Entity Identifier

payam.sheikhattari@morgan.edu

5. Data Universal Numbering System (DUNS)

7. Project Director or Principal Investigator
Payam Sheikhattari, MD (Contact)

1. Recipient Name

9. Awarding Agency Contact Information
Susan F. South

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES southsf@mail.nih.gov







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1. Recipient Name
MORGAN STATE UNIVERSITY
1700 E COLD SPRING LN

BALTIMORE, 21251

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Timothy Akers timothy.akers@morgan.edu 443-885-3798

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27. Total Amount of the Federal Award including Approved Cost	\$3,616,378
Sharing or Matching this Project Period	





Name and Contact Information for Awarding Agency

#### Recipient Information

1700 E COLD SPRING LN

1. Recipient Name
MORGAN STATE UNIVERSITY

BALTIMORE, 21251

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Susan F. South

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25. Total Federal and Non-Federal Approved this Budget Period	\$599,091	
<b>26. Project Period Start Date</b> 09/26/2014 – End Date 06/30/2024		
27. Total Amount of the Federal Award including Approved Cost	\$3,616,378	
Sharing or Matching this Project Period		





# Assistance Listings

Number and Title

#### **Recipient Information**

1. Recipient Name

MORGAN STATE UNIVERSITY 1700 E COLD SPRING LN

BALTIMORE, 21251

- 2. Congressional District of Recipient 07
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- 6. Recipient's Unique Entity Identifier KULSKCCZJT27
- 7. Project Director or Principal Investigator
  Payam Sheikhattari, MD (Contact)

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8. Authorized Official

Timothy Akers timothy.akers@morgan.edu 443-885-3798

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#### **Federal Award Information**

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16. Assistance Listing Program Title

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17. Award Action Type

Non-Competing Continuation

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20 b. Indirect Cost Amount	\$21,040	
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22. Offset		
23. Total Amount of Federal Funds Obligated this budget period	\$599,091	
24. Total Approved Cost Sharing or Matching, where applicable	\$0	
25. Total Federal and Non-Federal Approved this Budget Period	\$599,091	
26. Project Period Start Date 09/26/2014 – End Date 06/30/2024		
27. Total Amount of the Federal Award including Approved Cost	\$3,616,378	





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BALTIMORE, 21251

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- 6. Recipient's Unique Entity Identifier KULSKCCZJT27
- 7. Project Director or Principal Investigator Payam Sheikhattari, MD (Contact)

payam.sheikhattari@morgan.edu 443 885 3639

8. Authorized Official

Timothy Akers timothy.akers@morgan.edu 443-885-3798

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9. Awarding Agency Contact Information
Susan F. South

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES southsf@mail.nih.gov

#### **Federal Award Information**

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5RL5GM118972-09

12. Unique Federal Award Identification Number (FAIN) RL5GM118972

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42 USC 241 42 CFR 52

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93.310

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Yes

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19. Budget Period Start Date 07/01/2022 – End Date 06/30/2023

20. Total Amount of Federal Funds Obligated by this Action\$599,09120 a. Direct Cost Amount\$578,05120 b. Indirect Cost Amount\$21,040

21. Authorized Carryover

22. Offset

23. Total Amount of Federal Funds Obligated this budget period\$599,09124. Total Approved Cost Sharing or Matching, where applicable\$025. Total Federal and Non-Federal Approved this Budget Period\$599,091

26. Project Period Start Date 09/26/2014 - End Date 06/30/2024

27. Total Amount of the Federal Award including Approved Cost \$3,616,378Sharing or Matching this Project Period

R&D or no?





### Recipient Information 1. Recipient Name

MORGAN STATE UNIVERSITY

1700 E COLD SPRING LN

BALTIMORE, 21251

- 2. Congressional District of Recipient 07
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- **7. Project Director or Principal Investigator**Payam Sheikhattari, MD (Contact)

payam.sheikhattari@morgan.edu 443 885 3639

8. Authorized Official

Timothy Akers timothy.akers@morgan.edu 443-885-3798

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9. Awarding Agency Contact Information
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#### **Federal Award Information**

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42 USC 241 42 CFR 52

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24. Total Approved Cost Sharing or Matching, where applicable	\$0
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<b>26. Project Period Start Date</b> 09/26/2014 – End Date 06/30/2024	
27. Total Amount of the Federal Award including Approved Cost	\$3,616,378



IDC or F&A Cost

**Amount** 

A Federal award must include the following information:

(c) General terms and conditions



# §200.211

### A Federal award must include the following information:



- (c) General terms and conditions
  - (1) Federal awarding agencies must incorporate the following general terms and conditions either in the Federal award or by reference, as applicable:
    - (i) Administrative requirements implemented by the Federal awarding agency as specified in this part.
    - (ii) National policy requirements: These include statutory, executive order, other Presidential directive, or regulatory requirements that apply by specific reference and are not program-specific.
    - (iii) Recipient integrity and performance matters: If the total Federal share of the Federal award may include more than \$500,000 over the period of performance, the Federal awarding agency must include the term and condition available in appendix XII of this part.
    - (iv) Future budget periods: If it is anticipated that the period of performance will include multiple budget periods, the Federal awarding agency must indicate that subsequent budget periods are subject to the availability of funds, program authority, satisfactory performance, and compliance with the terms and conditions of the Federal award.
    - (v) Termination provisions: Federal awarding agencies must make recipients aware, in a clear and unambiguous manner, of the termination provisions in § 200.340, including the applicable termination provisions in the Federal awarding agency's regulations or in each Federal award.
  - (2) The Federal award must incorporate, by reference, all general terms and conditions of the award, which must be maintained on the agency's website.
  - (3) If a non-Federal entity requests a copy of the full text of the general terms and conditions, the Federal awarding agency must provide it.
  - (4) Wherever the general terms and conditions are publicly available, the Federal awarding agency must maintain an archive of previous versions of the general terms and conditions, with effective dates, for use by the non-Federal entity, auditors, or others



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	National		NASA G	rant and	Coo	perativ	e Aar	eement		CHOOSE ONE:	
	NASA Aeronautics and Space							COOPERATIVE AGREEMENT			
Administration Assistance Listings Number: 43.008 Education					F2						
										GRANT	
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NASA Grant an						_					
1. FEDERAL AV		DENTIFICAT 22M0244	ION NO.	2. SUPPLE	EMEN	NT NUMB	ER		D OF PERFO		
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4. RECIPIENT NAME/ADDRESS	(No. Str	reet City/County	State Zip)		. AVV	ARDING	ORGAN	IIZATION			
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				I K	ULSK	CCZJT27				200 W S 1000	
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9. PROPOSAL 22-MSFC CAN-0034		TLE, AND PR	ROJECT DE	SCRIPTION							
LOX RP-1 Additivel		ctured Rocket Dem	onstrator for Pre	essure drop and S	urface	Property Enl	hancement	(Rev.2)			
10A.		AM	OUNT OF A	AWARD	10	OB.			FUN	IDS OBLIGATED	
PREVIOUS		\$0.00			_	REVIOUS		\$0	0.00		
THIS ACTION		\$50,000.00				HIS ACTI	ON	\$5	50,000.00		
TOTAL		\$50,000.00			T	OTAL			0,000.00		
11. NASA ACC	NITNUC	IG AND APP	ROPRIATIO	N DATA				12	2. PR NUMBI	≣R	
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See Continuation  13. NASA POIN	TS OF	CONTACT									
REQUIRING CE			light Contor								
TEGORITO GE	T LIKE	NAM	<u>853</u>		Тма	AL STOP	TELER	PHONE		E-MAIL ADDRESS	
TECHNICAL OFFIC	ER THO				NO		256-961-		THOMAS W TE	EASLEY@NASA.GOV	
NEGOTIATOR					110	TAL	250-501-	21//	THOMPSON : 11	A TOLL I WITH TOTAL CO.	
ADMINISTRATOR	MOI	RRIS HICKS			XD	010	877-677-	2123	NSSC-CONTAC	CTCENTER@NASA.GOV	
PAYMENTS	NSS	C CONTACT CE	NTER		XD	010	877-677	-2123	NSSC-CONTAC	CTCENTER@NASA.GOV	
14. THIS AWAF	RD IS M	IADE UNDER	THE AUTH	ORITY OF 5	51 U.	S.C. 201	13 (e) A	ND IS SUI	BJECT TO AL	L	
		S AND REGL									
AWARD, IN	CLUDII	NG, BUT NOT	LIMITED T	O:							
☐ 14 CFR PA	☐ 14 CFR PART 1274. 🗵 2 CFR 1800.										
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Precision control of the Control of											
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	R&D TERMS AND CONDITIONS AND NASA AGENCY- SPECIFIC REQUIREMENTS APPLY TO THIS GRANT										
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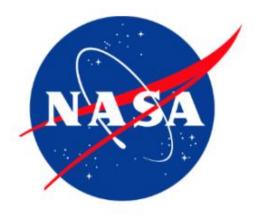
NASA FORM 1687 09/20~(3.3) PREVIOUS EDITIONS ARE OBSOLETE (REPLACES NF1687A WHICH IS OBSOLETE.)



Location	<u>Title</u>	<u>Date</u>
Appendix A to 2 CFR Part 25	Universal identifier and System of Award Management (SAM).	Dec. 26, 2014
Appendix A to		
2 CFR Part 170	Reporting Subawards and Executive Compensation	Dec. 26, 2014
2 CFR 175	Trafficking in persons.	Dec. 26, 2014
2 CFR 182	Government-wide requirements for Drug-Free Workplace	Dec. 26, 2014
GCAM Appendix D1	Compliance with Title 2 of the Code of Federal Regulations, Grants and Agreements	Nov. 30, 2021
GCAM Appendix D2	System for Award Management and Unique Entity Identifier	Nov. 30, 2021
GCAM Appendix D3	Technical Publications and Reports	Nov. 30, 2021
GCAM Appendix D4	Reporting Subawards and Executive Compensation	Nov. 30, 2021
GCAM Appendix D5	Extensions	Nov. 30, 2021
GCAM Appendix D6	Termination and Enforcement	Nov. 30, 2021
GCAM Appendix D7	Change in Principal Investigator or Scope	Nov. 30, 2021
GCAM Appendix D8	Financial Management	Nov. 30, 2021
GCAM Appendix D9	Equipment and other Property	Nov. 30, 2021
GCAM Appendix D10	Patent Rights	Nov. 30, 2021



### Grant and Cooperative Agreement Manual (GCAM)



National Aeronautics and Space Administration Office of the Chief Financial Officer Grants Policy and Compliance Branch

Original Implementation Date: December 26, 2014 2022 Version Effective Date: January 10, 2022



https://searchpub.nssc.nasa.gov/servlet/sm.we b.Fetch/NASA Grant and Cooperative Agreem ent Mnaual GCAM Jan 2022.pdf?rhid=1000 &did=6668671&type=released&FixForIE=NASA Grant and Cooperative Agreement Mnaual GCAM Jan 2022.pdf



### D16. Travel and Transportation

- (a) The Fly American Act, 49 U.S.C. 1517, requires the recipient to use U.S. flag air carriers for international air transportation of personnel and property to the extent that service by those carriers is available.
- (b) Department of Transportation regulations, 49 CFR 173, govern recipient shipment of hazardous materials and other items.

### D17. Safety

The recipient shall act responsibly in matters of safety and shall take all reasonable safety measures in performing under this award. The recipient shall comply with all applicable Federal, state, and local laws relating to safety. The recipient shall maintain a record of and will notify the NASA Grant Officer immediately (within one workday) of any accident involving death, disabling injury, or substantial loss of property in performing this award. The recipient will immediately (within one workday) advise the NASA Grant Officer of hazards that come to its attention as a result of the work performed. Where the work under this award involves flight hardware, the hazardous aspects, if any, of such hardware will be identified, in writing, by the recipient. Compliance with this term and condition by subawardees/subcontractors shall be the responsibility of the recipient.



### D18. Made in America Encouragement

As stated in 2 CFR part 200.322, Domestic preferences for procurement, recipients should, to the greatest extent practicable under a Federal award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products).



https://searchpub.nssc.nasa.gov/servlet/sm.web.Fetch/NASA\_Grant\_and\_Cooperative\_Agreement\_Mnaual\_\_GCAM\_\_Jan\_2022.pdf?rhid=1000&did=6668671&type=released&FixForlE=NASA\_Grant\_and\_Cooperative\_Agreement\_Mnaual\_\_GCAM\_\_Jan\_2022.pdf

# §200.211

A Federal award must include the following information:

(d) Federal awarding agency, program, or Federal award specific terms and conditions



# §200.211

A Federal award must include the following information:



(d) Federal awarding agency, program, or Federal award specific terms and conditions

The Federal awarding agency must include with each Federal award any terms and conditions necessary to communicate requirements that are in addition to the requirements outlined in the Federal awarding agency's general terms and conditions.



## SPECIAL CONDITIONS RESEARCH TERMS AND CONDITIONS (RTC)

For additional information, go to https://www.nsf.gov/awards/managing/rtc.jsp (the National Science Foundation hosts the Federal RTC website).

	Reference	RTC Overlay	NASA
Prior Written Approval (prior approval).	200.407		
Use of grant agreements (including fixed amount awards), cooperative agreements, and contracts	200.407(a)		
Changes in principal investigator (PI), project leader, project partner, or scope of effort.	200.201(b)(5)	Required	Required
Cost sharing or matching	200.407(b)		
Use of unrecovered indirect costs, including indirect costs on cost sharing or matching.	200.306(c)	Waived	Waived
Use of current fair market value to determine the value of non-Federal entity donations of services and property for the purposes of cost sharing or matching.	200.306(d)(2)	Waived	Required
Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the Federal award supports activities that require use of equipment, buildings or land.	200.306(h)(2)	Required	Required
Program Income	200.407(c)		
Use of program income during the period of performance (additive method).	200.307(e)(2)	Waived	Waived 1
Revision of budget and program plans	200.407(d)		
Change in the scope or the objective of the project or program	200 308(c)(1)(j)	Paguired	Peguired

## SPECIAL CONDITIONS RESEARCH TERMS AND CONDITIONS (RTC)

For additional information, go to https://www.nsf.gov/awards/managing/rtc.jsp (the National Science Foundation hosts the Federal RTC website).

	Reference	RTC Overlay	NASA
Prior Written Approval (prior approval).	200.407		
Use of grant agreements (including fixed amount awards), cooperative agreements, and contracts	200.407(a)		
Changes in principal investigator (PI), project leader, project partner, or scope of effort.	200.201(b)(5)	Required	Required
Cost sharing or matching	200.407(b)		
Use of unrecovered indirect costs, including indirect costs on cost sharing or matching.	200.306(c)	Waived	Waived
Use of current fair market value to determine the value of non-Federal entity donations of services and property for the purposes of cost sharing or matching.	200.306(d)(2)	Waived	Required
Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the Federal award supports activities that require use of equipment, buildings or land.	200.306(h)(2)	Required	Required
Program Income	200.407(c)		
Use of program income during the period of performance (additive method).	200.307(e)(2)	Waived	Waived 1
Revision of budget and program plans	200.407(d)		
Change in the scope or the objective of the project or program	200.308(c)(1)(i)	Peguired	Peguired

## SPECIAL CONDITIONS RESEARCH TERMS AND CONDITIONS (RTC)

For additional information, go to https://www.nsf.gov/awards/managing/rtc.jsp (the National Science Foundation hosts the Federal RTC website).

	Reference	RTC Overlay	NASA
Prior Written Approval (prior approval).	200.407		
Use of grant agreements (including fixed amount awards), cooperative agreements, ad contracts	200.407(a)		
Changes in principal investigator (PI), project leader, project partner, or scope of effort.	200.201(b)(5)	Required	Required
Cost sharing or matching	200.407(b)		
Use of unrecovered indirect costs, including indirect costs on cost sharing or matching.	200.306(c)	Waived	Waived
Use of current fair market value to determine the value of non-Federal entity donations of services and property for the purposes of cost sharing or matching.	200.306(d)(2)	Waived	Required
Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the Federal award supports activities that require use of equipment, buildings or land.	200.306(h)(2)	Required	Required
Program Income	200.407(c)		
Use of program income during the period of performance (additive method).	200.307(e)(2)	Waived	Waived 1
Revision of budget and program plans	200.407(d)		
Change in the scope or the objective of the project or program	200 308(c)(1)(i)	Required	Paguired

ation

## SPECIAL CONDITIONS RESEARCH TERMS AND CONDITIONS (RTC)

For additional information, go to https://www.nsf.gov/awards/managing/rtc.jsp (the National Science For hosts the Federal RTC website).

	Reference	RTC Overlay	NASA
Prior Written Approval (prior approval).	200.407		
Use of grant agreements (including fixed amount awards), cooperative agreements, and contracts	200.407(a)		
Changes in principal investigator (PI), project leader, project partner, or scope of effort.	200.201(b)(5)	Required	Required
Cost sharing or matching	200.407(b)		
Use of unrecovered indirect costs, including indirect costs on cost sharing or matching.	200.306(c)	Waived	Waived
Use of current fair market value to determine the value of non-Federal entity donations of services and property for the purposes of cost sharing or matching.	200.306(d)(2)	Waived	Required
Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the Federal award supports activities that require use of equipment, buildings or land.	200.306(h)(2)	Required	Required
Program Income	200.407(c)		
Use of program income during the period of performance (additive method).	200.307(e)(2)	Waived	Waived 1
Revision of budget and program plans	200.407(d)		
Change in the scope or the objective of the project or program	200.308(c)(1)(j)	Peguired	Required

NSB

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Award Statistics (Budget Internet Info System)

Award Conditions

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Presidential and Honorary Awards

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### Related Links

Research.gov

FastLane

**Public Access Initiative** 

Home > Awards



### **Research Terms and Conditions**

The Office of Management and Budget (OMB) mandated awarding agencies adopt recent revisions to 2 CFR 200: *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Agency implementation statements provide specific details on how participating agencies are implementing the revised Research Terms and Conditions (RTCs). In accordance with this requirement, the updated RTCs, which implement the changes to 2 CFR 200, will be fully effective beginning November 12, 2020. This includes all supplemental appendices (Appendix A: *Prior Approval Matrix*, Appendix B: *Subaward Requirements*, and Appendix C: *National Policy Requirements*).

Research Terms and Conditions (RTC) Agency Implementation Statements

- April 21, 2021
- November 12, 2020

RTC Overlay to 2 CFR 200 - November 12, 2020

Technical Corrections Statement

RTC Appendix A Prior Approval Matrix - November 12, 2020

RTC Appendix B Subaward Requirements - November 12, 2020

RTC Appendix C National Policy Requirements - November 12, 2020

#### AGENCY SPECIFIC REQUIREMENTS

- DHS N/A
- DOC 11/20
- DOE 11/20
- HHS/NIH 4/21, 11/20
- NASA 11/20

Notice of Award FAIN# RL5GM118972 Federal Award Date 06/23/2022

#### Recipient Information

.. Recipient Name MORGAN STATE UNIVERSITY 1700 E COLD SPRING LN

BALTIMORE, 21251

- Congressional District of Recipient
   O7
- Payment System Identifier (ID) 1526002033B8
- Employer Identification Number (EIN) 526002033
- Data Universal Numbering System (DUNS) 879941318
- 5. Recipient's Unique Entity Identifier KULSKCCZJT27
- 7. Project Director or Principal Investigator Payam Sheikhattari, MD (Contact)

payam.sheikhattari@morgan.edu 443 885 3639

8. Authorized Official Timothy Akers timothy.akers@morgan.edu 443-885-3798

#### Federal Agency Information

Awarding Agency Contact Information
 Susan F. South

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES southsf@mail.nih.gov 301-594-4495

10. Program Official Contact Information
Alison Gammie
Director
NATIONAL INSTITUTE OF GENERAL
MEDICAL SCIENCES
alison.gammie@nih.gov
301-827-7616

#### Federal Award Information

- 11. Award Number 5RL5GM118972-09
- Unique Federal Award Identification Number (FAIN) RL5GM118972
- 13. Statutory Authority 42 USC 241 42 CFR 52
- Federal Award Project Title
   ASCEND Training Model to Increase Diversity in the Biomedical Research Workforce
- 15. Assistance Listing Number 93.310
- 16. Assistance Listing Program Title Trans-NIH Research Support
- 17. Award Action Type
  Non-Competing Continuation
- 18. Is the Award R&D? Yes

Summary Federal Award Financial Information	n
19. Budget Period Start Date 07/01/2022 - End Date 06/30/2023	
20. Total Amount of Federal Funds Obligated by this Action	\$599,091
20 a. Direct Cost Amount	\$578,051
20 b. Indirect Cost Amount	\$21,040
21. Authorized Carryover	
22. Offset	
23. Total Amount of Federal Funds Obligated this budget period	\$599,091
24. Total Approved Cost Sharing or Matching, where applicable	\$0
25. Total Federal and Non-Federal Approved this Budget Period	\$599,091
26. Project Period Start Date 09/26/2014 - End Date 06/30/2024	111111111111111111111111111111111111111
27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$3,616,378

- 28. Authorized Treatment of Program Income Additional Costs
- Grants Management Officer Signature Justin Rosenzweig

#### 30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



#### SECTION IV - GM SPECIFIC AWARD CONDITIONS - 5RL5GM118972-09

#### Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

- This project was awarded in response to application 2U54GM118973-06, and is administratively linked to UL1GM118973 and TL4GM118974.
- 2. This grant must be administered in accordance with the guidelines described in the NIH Limited Competition: Building Infrastructure Leading to Diversity (BUILD) Initiative Phase II Program Announcement <u>RFA-RM-18-006</u>. These guidelines are in addition to the standard terms and conditions referenced in Section III of this Notice of Award.
- This award is supported by the NIH Common Fund, through the Office of Strategic Coordination, Office of the NIH Director. Publications derived from this research must acknowledge support by the NIH Common Fund with reference to the specific award number.
- 4. All RL5 participants must be appointed electronically via xTrain as of July 1, 2019. A Termination Notice is not required at the end of a student participant's appointment; however, if the actual period of support is different from what was originally proposed, the appointment must be amended to reflect the actual period of support.

Additional information on xTrain, as well as training and reference materials can be found on the xTrain website: <a href="https://era.nih.gov/help-tutorials/xtrain">https://era.nih.gov/help-tutorials/xtrain</a>

5. This award incorporates the requirements set forth in "Diversity Program Consortium Data Sharing Policy" which is hereby incorporated by reference as special terms and conditions of this award. Each awardee will retain custody of and have primary rights to site-level data and  This award is issued in accordance with the NIH fiscal policies described in NIH Guide Notice NOT-OD-22-105.



- •11. None of the funds in this award shall be used to pay the salary of an individual at a rate in excess of the applicable salary cap. Current salary cap levels can be found at the following URL: http://grants1.nih.gov/grants/policy/salcap\_summary.htm.
- 12. As indicated in Section III of this Notice of Award, an unobligated balance may <u>not</u> be carried over into the next budget period without Grants Management Officer prior approval. NIGMS staff reserves the right to make budgetary reductions to award commitments in cases where recipients have accrued excessively large unobligated balances.
- 13. NIH policy requires the submission of an annual Federal Financial Report (FFR) 90 days after the end of the calendar quarter in which the budget period ends. The FFR must be submitted through the Payment Management System (PMS) per NOT-OD-21-046. The grant recipient is reminded that acceptance of an FFR by the NIH Office of Financial Management (OFM) does not authorize the carry forward or expenditure of any remaining unobligated balance. As stated in Section III of this Notice of Award, only the NIGMS Grants Management Officer (or their designee) can authorize the carry forward of unobligated balances. Expenditures utilizing any portion of a remaining unobligated balance without prior approval will be disallowed.
- 14. OTHER PROGRAM REQUIREMENTS: The policies as stated in the NIH Grants Policy Statement (for research grants) and the Program Announcement referenced above must be followed. Key elements include, but are not limited to:

Allowable/Unallowable Costs: The Program Announcement referenced above includes detailed information on appropriate charges, limitations on personnel effort and compensation, and specific items of cost that are unallowable.

Intellectual Property Policy



HOME **FUNDING POLICY & COMPLIANCE NEWS & EVENTS ABOUT OER ABOUT GRANTS** Home » About Grants » Salary Cap Summary (FY 1990 Present) Salary Cap Summary (FY 1990 - Present) POLICY & COMPLIANCE NIH Grants Policy Statement Notices of Policy Changes FY 2022 Awards Issued Compliance & Oversight October 1, 2021 through January 1, 2022 (Executive Level II) \$199,300 **Policy Topics** January 2, 2022 through September 30, 2022 (Executive Level II) \$203,700 Animals in NIH Research FY 2021 Awards Issued Animal Welfare 🐶 October 1, 2020 through January 2, 2021 (Executive Level II) \$197,300 Application Submission Policies January 3, 2021 through September 30, 2021 (Executive Level II) \$199,300 Communicating and Acknowledging Federal Funding FY 2020 Awards Issued Clinical Trial Requirements October 1, 2019 through January 4, 2020 (Executive Level II) \$192,300 Early Stage Investigator Policies \$197,300 January 5, 2020 through September 30, 2020 (Executive Level II) Family-Friendly Initiatives FY 2019 Awards Issued Financial Conflict of Interest October 1, 2018 through January 5, 2019 (Executive Level II) \$189,600 Foreign Interference January 6, 2019 through September 30, 2019 (Executive Level II) \$192,300 Human Subjects Research FY 2018 Awards Issued Inclusion Policies

October 1, 2017 through January 6, 2018 (Executive Level II)

\$187,000

- minimum) indicating that the EAC concurs with supporting the pilot project.
- If proposed study involves human subjects, following documents must be submitted to NIH:
  - Written protocol addressing the risks and protections for human subjects, in accordance with NIH's Instructions for Preparing the Human Subjects Section of the Research Plan.
  - Institutional Review Board (IRB) approval.
  - Human Subjects education certification.
  - Create Inclusion Data Record (IDR) and enter inclusion data in Inclusion Management System (IMS).

If the proposal involves Vertebrate Animal, IACUC approval and Vertebrate Animal Section as described above must be submitted.

- 17. Grantee representation is required at the Annual Grantee Meeting. The maximum number of attendees will be provided by the CEC and NIH based on the goals and objectives for the meeting.
- 18. Grantee representation is highly recommended at the biennial TWD Program Directors' Meeting. The maximum number of attendees will be provided by NIH based on the goals and objectives for the meeting.
- 19. FOREIGN TRAVEL: Foreign travel is not allowed.
- 20. This award includes funds awarded for consortium activity. Recommended levels in future years also include costs for this purpose. Consortia are to be established and administered as described in the NIH Grants Policy Statement (NIH GPS). The referenced section of the NIH GPS is available at:

http://grants.nih.gov/grants/policy/nihgps/HTML5/section 15/15.1 general.htm

# §200.211

A Federal award must include the following information:

(e) Federal awarding agency requirements



# §200.211

A Federal award must include the following information:

(e) Federal awarding agency requirements

Any other information required by the Federal awarding agency.









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U.S. Department of Health and Human Services | National Institutes of Health

HOME ABOUT GRANTS FUNDING POLICY & COMPLIANCE NEWS & EVENTS ABOUT OER

Home » Policy & Compliance » NIH Grants Policy Statement

#### **POLICY & COMPLIANCE**

NI	н	5ra	ints	Pol	ıcy	Sta	teme	ent

Notices of Policy Changes

Compliance & Oversight

#### **Policy Topics**

Animals in NIH Research

Animal Welfare 🚱

**Application Submission Policies** 

Communicating and Acknowledging Federal Funding

Clinical Trial Requirements

Early Stage Investigator Policies

Family-Friendly Initiatives

Financial Conflict of Interest

Foreign Interference

Human Subjects Research

Inclusion Policies

Intellectual Property Policy

### NIH Grants Policy Statement

The NIH Grants Policy Statement (NIHGPS) makes available, in a single document, the policy requirements that serve as the terms and conditions of NIH grant awards. By accepting an award, recipients agree to comply with the requirements in the NIH Grants Policy Statement except where the notice of award states otherwise. Notices of policy changes published in the NIH Grants Policy Statement. Compliance with these policy updates also becomes a term and condition of award.

### NIH Grants Policy Statement - Dec 2021 HTML\* PDF

Applies to all NIH grants and cooperative agreements with budget periods beginning on or after October 1, 2021.

Significant Changes since April 2021 (PDF - 162 KB)

Significant Changes since Oct 2020 (PDF - 185 KB)

Significant Changes since December, 2019 (PDF - 106 KB)

Significant Changes since Oct 2018 (PDF - 105 KB)

Significant Changes since Oct 2017 (PDF - 173 KB)

Anticipated date of next update: Fiscal Year 2022

\*For the best user experience, please use Microsoft Edge, Firefox, or Google Chrome to view the HTML version of the NIHGPS.

### **Prior NIH Grants Policy Statements**

NIH Fiscal years run from Oct 1-Sept 30. The NIHGPS apply to grant awards with budget periods beginning within the same fiscal year. For example, an award made in Jan 2019 would adhere to the requirements contained in the Oct 2018 Grants Policy Statement.

Prior NIHGPS Versions: Oct 2020, Dec 2019, Oct 2018, Oct 2017, Nov 2016, Oct/Nov 2015

#### Award Conditions and Information for NIH Grants

The information contained on the Award Conditions and Information for NIH Grants web page serve as terms and conditions of award that apply in addition to the NIHGPS.

Notices of Policy Changes

https://grants.nih.gov/policy/nihgps/index.htm

Notices of policy changes published in the NIH Guide for Grants and Contracts can supersede information in the NIH Grants Policy Statement. Compliance with these policy updates also becomes a term





## NIH GRANTS POLICY STATEMENT

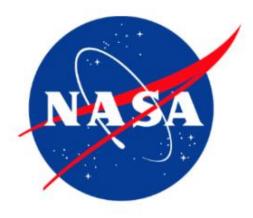
US DEPARTMENT OF HEALTH AND HUMAN SERVICES

NATIONAL INSTITUTES OF HEALTH





### Grant and Cooperative Agreement Manual (GCAM)



National Aeronautics and Space Administration Office of the Chief Financial Officer Grants Policy and Compliance Branch

Original Implementation Date: December 26, 2014 2022 Version Effective Date: January 10, 2022



# PROPOSAL AND AWARD POLICIES AND PROCEDURES GUIDE





Effective October 4, 2021 NSF 22-1 OMB Control Number 3145-0058

## **REMINDER:**

Read each

Notice of Award

very carefully!



## 2 CFR 200

Uniform Guidance

§200.211: Information contained in a Federal Award







Notice of Award FAIN# U54MD013376 Federal Award Date 02/02/2021

Recipient Information

1. Recipient Name

MORGAN STATE UNIVERSITY 1700 E COLD SPRING LN

BALTIMORE, MD 21251

2. Congressional District of Recipient

3. Payment System Identifier (ID) 1526002033B8

4. Employer Identification Number (EIN) 526002033

5. Data Universal Numbering System (DUNS) 879941318

6. Recipient's Unique Entity Identifier

7. Project Director or Principal Investigator Hongtao Yu, DSC

hongtao.yu@morgan.edu 4438854515

8. Authorized Official

Dr. Hongtao Yu

Federal Agency Information

9. Awarding Agency Contact Information

CARLA GRIFFITH
Grants Management Specialist
NATIONAL INSTITUTE ON MINORITY
HEALTH AND HEALTH DISPARITIES
griffithcp@mail.nih.gov
301-594-8944

10. Program Official Contact Information

Health Scientist Administrator
NATIONAL INSTITUTE ON MINORITY
HEALTH AND HEALTH DISPARITIES
jonesna@mail.nih.gov
301-594-8945

Federal Award Information

11. Award Number

3U54MD013376-02S2

12. Unique Federal Award Identification Number (FAIN)

U54MD013376

13. Statutory Authority

42 USC 241 31 USC 6305 42 CFR 52

14. Federal Award Project Title

RCMI@Morgan: Center for Urban Health Disparities Research and Innovation

15. Assistance Listing Number

93.307

16. Assistance Listing Program Title

Minority Health and Health Disparities Research

17. Award Action Type

Supplement (REVISED)

18. Is the Award R&D?

Yes

Summary Federal Award Financial Info	rmation
Period Start Date 09/01/2020 - End Date 02/28/2	021

19. Budget Period Start Date 09/01/2020 – End Date 02/28/2021	
20. Total Amount of Federal Funds Obligated by this Action	\$0
20 a. Direct Cost Amount	\$0
20 b. Indirect Cost Amount	\$0
21. Authorized Carryover	\$0
22. Offset	\$0
23. Total Amount of Federal Funds Obligated this budget period	\$188,750
24. Total Approved Cost Sharing or Matching, where applicable	\$0
25. Total Federal and Non-Federal Approved this Budget Period	\$188,750

#### 26. Project Period Start Date 07/31/2019 - End Date 02/29/2024

27. Total Amount of the Federal Award including Approved Cost \$6,359,785 Sharing or Matching this Project Period

28. Authorized Treatment of Program Income

Additional Costs

29. Grants Management Officer - Signature

Priscilla Grant

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



**Notice of Award** FAIN# U54MD013376

**Federal Award Date** 02/02/2021

### **Recipient Information**

1. Recipient Name

MORGAN STATE UNIVERSITY 1700 E COLD SPRING LN

BALTIMORE, MD 21251

- 2. Congressional District of Recipient 07
- 3. Payment System Identifier (ID) 1526002033B8
- 4. Employer Identification Number (EIN) 526002033
- 5. Data Universal Numbering System (DUNS) 879941318
- 6. Recipient's Unique Entity Identifier

'. Project Director or Principal Investigator Hongtan VII DSC

### **Federal Award Information**

- 11. Award Number 3U54MD013376-02S2
- 12. Unique Federal Award Identification Number (FAIN) U54MD013376
- 13. Statutory Authority 42 USC 241 31 USC 6305 42 CFR 52
- 14. Federal Award Project Title

RCMI@Morgan: Center for Urban Health Disparities Research and Innovation

- 15. Assistance Listing Number 93.307
- 16. Assistance Listing Program Title Minority Health and Health Disparities Research
- 17. Award Action Type Supplement (REVISED)



Example: 3 U54 MD 013376 - 02 S2



Example: 3U54MD013376-02S2

- Application Type (3)
- Activity Code (U54)
- Institute Code (MD)
- Serial Number (013376)
- Support Year (02)
- Suffix Code (S2)



Example: 3 U54 MD 013376 - 02 S2

Application Type (3)



Example: 3 U54 MD 013376 - 02 S2

Application Type (3)

1 - New

2 – Renewal

3 – Revision

4 – Extension

6 – Change of Organization Status

7 – Change of Recipient or Training Institution

8 – Change of Institute or Center for Type 5

9 – Change of Institute or Center for Type 2

5 – Noncompeting Continuation



Example: 3 U54 MD 013376 - 02 S2

Application Type (3)

3 – Revision



#### Notice of Award



## LINKED SPECIALIZED CENTER COOPERATIVE AGREEMENT

Federal Award Date: 06/30/2015



Department of Health and Human Services National Institutes of Health NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

Grant Number: 8UL1GM118973-02

FAIN: UL1GM118973

### **Principal Investigator(s):**

Farin Kamangar (contact)
Payam Sheikhattari, MD

Project Title: ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE, MD 21251

Award e-mailed to: Mildred.Ofosu@morgan.edu

**Period Of Performance:** 

**Budget Period:** 07/01/2015 – 06/30/2016 **Project Period:** 09/26/2014 – 06/30/2019

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$3,419,450 (see "Award Calculation" in Section I and "Torms and Conditions" in Section III) to MORGAN STATE

Example: 8 UL1 GM 118973 – 02

Application Type (8)

8 – Change of Institute or Center for Type 5

5 – Noncompeting Continuation



Example: 3 U54 MD 013376 - 02 S2

Activity Code (U54)



Example: 3 U54 MD 013376 – 02 S2

#### Activity Code (U54)

**F** – Fellowships

**K** – Career Development Awards

**N** – Research Contracts

**P** – Program Project and

Research Center Grants

**R** – Research Project Grants

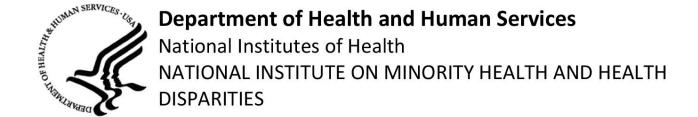
**S** – Research-Related Programs (equipment, etc.)

**T** – Training Grants

U – Cooperative Agreements

**Y** – Interagency Agreements





Notice of Award FAIN# U54MD013376 Federal Award Date

02/02/2021

#### **Recipient Information**

1. Recipient Name

MORGAN STATE UNIVERSITY 1700 E COLD SPRING LN

BALTIMORE, MD 21251

- 2. Congressional District of Recipient 07
- 3. Payment System Identifier (ID) 1526002033B8
- **4. Employer Identification Number (EIN)** 526002033
- **5. Data Universal Numbering System (DUNS)** 879941318
- 6. Recipient's Unique Entity Identifier
- 7. Project Director or Principal Investigator

#### **Federal Award Information**

11. Award Number

3<mark>U54</mark>MD013376-02S2

12. Unique Federal Award Identification Number (FAIN)

U54MD013376

13. Statutory Authority

42 USC 241 31 USC 6305 42 CFR 52

14. Federal Award Project Title

RCMI@Morgan: Center for Urban Health Disparities Research and Innovation

15. Assistance Listing Number

93.307

16. Assistance Listing Program Title

Minority Health and Health Disparities Research

17. Award Action Type

Supplement (REVISED)

Example: 3 U54 MD 013376 – 02 S2

Activity Code (U54)

U – Cooperative Agreements



# Understanding NIH Award Numbers Example: 3 U54 MD 013376 – 02 S2

Activity Code (U54)

**U54** – Cooperative Agreements: Specialized Center

"To support any part of the full range of research and development from very basic to clinical; may involve ancillary supportive activities such as protracted patient care necessary to the primary research or R&D effort. The spectrum of activities comprises a multidisciplinary attack on a specific disease entity or biomedical problem area. These differ from program project in that they are usually developed in response to an announcement of the programmatic needs of an Institute or Division and subsequently receive continuous attention from its staff. Centers may also serve as regional or national resources for special research purposes, with funding component staff helping to identify appropriate priority needs."





#### LINKED SPECIALIZED CENTER COOPERATIVE

Federal Award Date: 06/30/2015



**AGREEMENT** Department of Health and Human Services National Institutes of Health NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

**Grant Number:** 8UL1GM118973-02 FAIN: UL1GM118973

Principal Investigator(s): Farin Kamangar (contact) Payam Sheikhattari, MD

**Project Title:** ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE, MD 21251

Award e-mailed to: Mildred.Ofosu@morgan.edu

**Period Of Performance:** 

**Budget Period:** 07/01/2015 – 06/30/2016 Project Period: 09/26/2014 – 06/30/2019

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$3,419,450 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MORGAN STATE

**UL1** – Cooperative Agreements: Linked Specialized Center

"To support clinical and translational research. The UL1 administratively linked to another project or projects. AUL1 award may only be disaggregated from a U54 application and organizations may not apply for a UL1, Linked Specialized Center Cooperative Agreement. The UL 1 activity code is used in lieu of the U54 for those programs that offer linked awards."



#### **MOST COMMON:**

**R01** – Research Projects:

"Mature research projects that are hypothesis-driven with strong preliminary data. R01s provide up to five years of support, with a budget that reflects the costs required to complete the project."



#### **OTHER EXAMPLES:**

H79 – Community Services Program: Mental Health and/or Substance Abuse Services Grants

**R25** – Research Projects: Education Projects

**RL5** – Research Projects: Linked Education Project

SC2 – Research-Related Programs: Pilot Research Project

SC3 – Research-Related Programs: Research Continuance Award

T34 – Training Programs: Undergraduate NRA Institutional Research Training Grants



Example: 3 U54 MD 013376 - 02 S2

•Institute Code (MD)

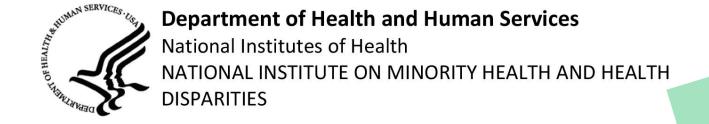


Example: 3 U54 MD 013376 - 02 S2

•Institute Code (MD)

MD – National Institute on Minority Health and Health Disparities (NIMHD)





FAIN# U54MD013376 Federal Award Date 02/02/2021

#### **Recipient Information**

1. Recipient Name

MORGAN STATE UNIVERSITY 1700 E COLD SPRING LN

BALTIMORE, MD 21251

- 2. Congressional District of Recipient 07
- 3. Payment System Identifier (ID) 1526002033B8
- **4. Employer Identification Number (EIN)** 526002033
- **5. Data Universal Numbering System (DUNS)** 879941318
- 6. Recipient's Unique Entity Identifier
- 7. Project Director or Principal Investigator

#### **Federal Award Information**

11. Award Number

3U54MD013376-02S2

12. Unique Federal Award Identification Number (FAIN)

U54MD013376

13. Statutory Authority

42 USC 241 31 USC 6305 42 CFR 52

14. Federal Award Project Title

RCMI@Morgan: Center for Urban Health Disparities Research and Innovation

15. Assistance Listing Number

93.307

16. Assistance Listing Program Title

Minority Health and Health Disparities Research

17. Award Action Type

Supplement (REVISED)

AA	NIH National Institute on Alcohol Abuse and Alcoholism (NIAAA)	DK	NIH National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)	LM	NIH National Library of Medicine (NLM)
AG	NIH National Institute on Aging (NIA)	EB	NIH National Institute of Biomedical Imaging and Bioengineering (NIBIB)	MD	NIH National Institute on Minority Health and Health Disparities (NIMHD)
Al	NIH National Institute of Allergy and Infectious Diseases (NIAID)	ES	NIH National Institute of Environmental Health Sciences (NIEHS)	МН	NIH National Institute of Mental Health (NIMH)
AR	NIH National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS)	EY	NIH National Eye Institute (NEI)	NR	NIH National Institute of Nursing Research (NINR)
AT	NIH National Center for Complementary and Integrative Health (NCCIH)	GM	NIH National Institute of General Medical Sciences (NIGMS)	NS	NIH National Institute of Neurological Disorders and Stroke (NINDS)
CA	NIH National Cancer Institute (NCI)	IHS	Indian Health Service	RM	NIH Roadmap
DA	NIH National Institute on Drug Abuse (NIDA)	HD	NIH Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)	RR	National Center for Research Resources (NCRR) (dissolved 12/2011)
DC	NIH National Institute on Deafness and Other Communication Disorders (NIDCD)	HG	NIH National Human Genome Research Institute (NHGRI)	TR	NIH National Center for Advancing translational Sciences (NCATS)
DE	NIH National Institute of Dental & Craniofacial Research (NIDCR)	HL	NIH National Heart, Lung and Blood Institute (NHLBI)	TW	NIH Fogarty International Center (FIC)



#### LINKED SPECIALIZED CENTER COOPERATIVE

Federal Award Date: 06/30/2015



**AGREEMENT** Department of Health and Human Services National Institutes of Health NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

Grant Number: 8UL1GM118973-02 FAIN: UL1GM118973

Principal Investigator(s): Farin Kamangar (contact) Payam Sheikhattari, MD

**Project Title:** ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE, MD 21251

Award e-mailed to: Mildred.Ofosu@morgan.edu

**Period Of Performance:** 

**Budget Period:** 07/01/2015 – 06/30/2016 Project Period: 09/26/2014 – 06/30/2019

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$3,419,450 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MORGAN STATE



### LINKED SPECIALIZED CENTER COOPERATIVE AGREEMENT

Federal Award Date: 06/

06/30/2015



Department of Health and Human Services
National Institutes of Health
NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

**Grant Number:** 8UL1GM118973-02 **FAIN:** UL1GM118973

### Principal Investigator(s): Farin Kamangar (contact) Payam Sheikhattari, MD

Project Title: ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE, MD 21251

Award e-mailed to: Mildred.Ofosu@morgan.edu

**Period Of Performance:** 

**Budget Period:** 07/01/2015 – 06/30/2016 **Project Period:** 09/26/2014 – 06/30/2019

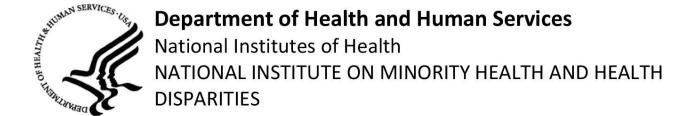
Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$3,419,450 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MORGAN STATE

Example: 3 U54 MD 013376 - 02 S2

Serial Number (013376)





Notice of Award FAIN# U54MD013376 Federal Award Date 02/02/2021

#### **Recipient Information**

1. Recipient Name

MORGAN STATE UNIVERSITY 1700 E COLD SPRING LN

BALTIMORE, MD 21251

- 2. Congressional District of Recipient 07
- 3. Payment System Identifier (ID) 1526002033B8
- **4. Employer Identification Number (EIN)** 526002033
- **5. Data Universal Numbering System (DUNS)** 879941318
- 6. Recipient's Unique Entity Identifier
- 7. Project Director or Principal Investigator

#### **Federal Award Information**

11. Award Number

3U54MD013376-02S2

12. Unique Federal Award Identification Number (FAIN)

U54MD013376

13. Statutory Authority

42 USC 241 31 USC 6305 42 CFR 52

14. Federal Award Project Title

RCMI@Morgan: Center for Urban Health Disparities Research and Innovation

15. Assistance Listing Number

93.307

16. Assistance Listing Program Title

Minority Health and Health Disparities Research

17. Award Action Type

Supplement (REVISED)

Example: 3 U54 MD 013376 - 02 S2

- Serial Number (013376)
  - assigned by the NIH Center for Scientific Research (CSR)
  - unique six-digit number identifying the specific application





#### LINKED SPECIALIZED CENTER COOPERATIVE

Issue Date: 09/26/2014

AGREEMENT

Department of Health and Human Services National Institutes of Health

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES



**Grant Number:** 1UL1MD009605-01 **FAIN:** UL1MD009605

Principal Investigator(s):
Farin Kamangar (contact)
Payam Sheikhattari, MD

Project Title: ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE, MD 21251

**Budget Period:** 09/26/2014 – 06/30/2015 **Project Period:** 09/26/2014 – 06/30/2019

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$2,119,860 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MORGAN STATE UNIVERSITY in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 31 USC 6305 42 CFR 52 and is subject to the requirements of this statute and

Issue Date: 09/26/2014



#### LINKED SPECIALIZED CENTER COOPERATIVE

AGREEMENT

Department of Health and Human Services

National Institutes of Health

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES



**Grant Number:** 1UL1MD009605-01 **FAIN:** UL1MD009605

Principal Investigator(s): Farin Kamangar (contact) Payam Sheikhattari, MD

Project Title: ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE, MD 21251

**Budget Period:** 09/26/2014 – 06/30/2015 **Project Period:** 09/26/2014 – 06/30/2019

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$2,119,860 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MORGAN STATE UNIVERSITY in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 31 USC 6305 42 CFR 52 and is subject to the requirements of this statute and

Example: 3 U54 MD 013376 - 02 S2

• Support Year (02)



Example: 3 U54 MD 013376 - 02 S2

• Support Year (02)

01 = year 1

02=year 2

03=year 3

04=year 4

etc.



Issue Date: 09/26/2014



#### LINKED SPECIALIZED CENTER COOPERATIVE

**AGREEMENT** 

Department of Health and Human Services

National Institutes of Health

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES



Grant Number: 1UL1MD009605-01 FAIN: UL1MD009605

Principal Investigator(s): Farin Kamangar (contact) Payam Sheikhattari, MD

Project Title: ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE, MD 21251

**Budget Period:** 09/26/2014 – 06/30/2015 **Project Period:** 09/26/2014 – 06/30/2019

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$2,119,860 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MORGAN STATE UNIVERSITY in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 31 USC 6305 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.



Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee



#### LINKED SPECIALIZED CENTER COOPERATIVE

Issue Date: 09/26/2014



**AGREEMENT** Department of Health and Human Services National Institutes of Health

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

**Grant Number:** 1UL1MD009605-01 FAIN: UL1MD009605

**Principal Investigator(s):** Farin Kamangar (contact) Payam Sheikhattari, MD

**Project Title:** ASCEND Training Model to Increase Diversity in Biomedical Research

MILDRED HUFF OFOSU, PHD ASST VP FOR SPONSORED PROGRAMS MORGAN STATE UNIVERSITY 1700 E. COLD SPRING LANE BALTIMORE, MD 21251

**Budget Period:** 09/26/2014 – 06/30/2015 Project Period: 09/26/2014 - 06/30/2019

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$2,119,860 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MORGAN STATE UNIVERSITY in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 31 USC 6305 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee



Example: 3 U54 MD 013376 - 02 S2

Suffix Code (S2)



Example: 3 U54 MD 013376 - 02 S2

A# = the amendment number (example: A1 = resubmission)

**S#** = the revision record

X# = a fellowship's institutional allowance record

**P#** = a pre-application

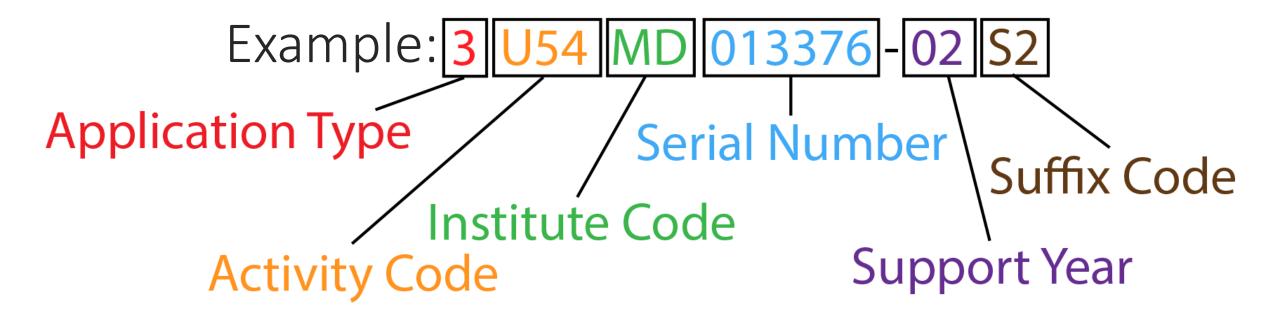
It is possible to have more than one suffix code.



Example: 3 U54 MD 013376 - 02 S2

S2 = the 2<sup>nd</sup> revision









### LINKED SPECIALIZED CENTER COOPERATIVE AGREEMENT

Federal Award Date: 07/24/2019



Department of Health and Human Services
National Institutes of Health
NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

Grant Number: 2UL1GM118973-06

**FAIN:** UL1GM118973

### Principal Investigator(s): Farin Kamangar (contact)

Payam Sheikhattari, MD

Project Title: ASCEND Training Model to Increase Diversity in the Biomedical Research

Workforce

Timothy Akers Assistant Vice President Morgan State University 1700 E Cold Spring Lane Baltimore, MD 21251

**Period Of Performance:** 

**Budget Period**: 07/24/2019 – 06/30/2020 **Project Period**: 09/26/2014 – 06/30/2024

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$1,752,627 (see "Award Calculation" in Section Land "Terms and Conditions" in Section III) to MORGAN STATE

### Example: 2 UL1 GM 1187973 - 06

- Application Type (2): 2 Renewal
- Activity Code (UL1): Cooperative Agreements: Linked Specialized
- Institute Code (GM): **GM** National Institute of General Medical Sciences (NIGMS)
- Serial Number (118973): Unique Serial Number = 118973
- Support Year (06): Year 6
- Suffix Code (none): None









# Questions?

