



Division of Research and Economic
Development

SUBRECIPIENT INFORMATION AND COMPLIANCE FORM

All subrecipients must complete this form when submitting a proposal to Morgan. This form is required to be signed and dated by an authorized organization official.

Subrecipient Information:

Legal Name: _____ PI Name: _____

UEI #: _____ EIN: _____

Location of Subrecipient (City, State, Congressional District and Country):

Total Funds Requested: \$ _____

Performance Period: From: _____ To: _____

Morgan State University Information:

PI Name: _____ Prime Sponsor: _____

Project Title: _____

SECTION A-PROPOSAL DOCUMENTS

The following documents are included in our subaward proposal submission and covered by the certifications below:

- STATEMENT OF WORK *(required)*
- BUDGET AND BUDGET JUSTIFICATION *(required)*
- Small Disadvantaged Business Subcontracting Plan, in agency-required format *(required for proposals for federal contracts over \$650,000)*

SECTION B-ANIMALS and/or HUMAN SUBJECTS

1. **Will Animal Subjects be used?** Yes No
Is IACUC review pending? Yes No

If No, enter protocol number: _____ Approval date: _____

Animal Welfare Assurance Number: _____

2. **Will Human Subjects be used?** Yes No
Is IRB review pending? Yes No

If No, enter protocol number: _____ Approval date: _____

Human Subjects Assurance Number: _____



SECTION C – CERTIFICATIONS AND REPRESENTATIONS

1. Facilities and Administrative (F&A) Rates applied in this proposal are based on:

- Our federally negotiated F&A rates for this type of work, or a reduced F&A rate that we hereby agree to accept. Attach copy of your F&A rate agreement or provide breakdown of rate calculation.
- Other rates (please specify the basis on which the rate has been calculated in Section E Comments below)
- Not applicable (no F&A costs requested)

2. Fringe Benefit (FB) Rates applied in this proposal are based on:

- Our federally negotiated FB rates for this type of work, or a reduced FB rate that we hereby agree to accept. Attach copy of your FB rate agreement or provide breakdown of rate calculation.
- Other rates (specify the basis on which the rate has been calculated in Section E Comments below)

3. Conflict of Interest Check applicable box.

- Subrecipient hereby certifies it has implemented and is enforcing a written conflict of interest policy compliant with 2 CFR 200 and, all individuals responsible for the design, conduct or reporting of research for the proposal have made the required disclosures. All required reports and disclosures have been made to the Subrecipient's Institutional Official in accordance with the subrecipient's policy.
- Subrecipient has not implemented a written conflict of interest policy compliant with 2 CFR 200, as applicable. A Morgan Financial Interest Disclosure Form has been completed and is attached for all individuals responsible for the design, conduct or reporting of the research for the proposal. In addition, Subrecipient acknowledges that it will have a conflict of interest policy in place, per 2 CFR 200, before an award is made. (Failure to have an appropriate compliance policy may jeopardize an eventual agreement, should the proposal be funded.)

_____ **Subrecipient's Institutional Representative acknowledges this requirement by initialing here. Date:** _____

- Not applicable because this project does not fall under 2 CFR 200 or similar requirements.

4. Debarment and Suspension

Is the Subrecipient, PI or any other employee or student proposed to participate in this project debarred, suspended or otherwise excluded from or ineligible for participation in federal assistance programs or activities?

- Yes No (If "Yes", explain in Section E Comments below)

SECTION D – AUDIT

- 1. Subrecipient receives an annual audit in accordance with 2 CFR 200.

Most recent fiscal year completed: FY _____

- Audit report is available on the Federal Audit Clearinghouse, or
- Audit report is available at this URL _____ or
- Audit report is attached

Were there any audit findings? Yes No



2. Subrecipient does not require an annual audit.

Section E-Comments

SUBRECIPIENT CERTIFICATION

The information, certifications and representations above have been read, signed and made by an authorized official of the subrecipient named herein.

The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary inter-institutional agreement consistent with those policies. I certify that the information submitted within the proposal is true, accurate, complete, is the original work of the subrecipient's PI and to the best of my knowledge has not been used by other individuals in the preparation and submission of a similar grant application.

Any work begun and/or any expenses incurred prior to the execution of a subaward agreement are at the subrecipient's own risk.

Signature of Subrecipient's Authorized Official

Type or print name and title of Authorized Official

Date